

Exeter City Council Corporate Risk Register				Inherent Risk (impact x likelihood)			Residual Risk (impact x likelihood) & Risk Priority								
Risks & benefits				What has been done to control risks (i.e. countermeasures)				What needs to be done to control risks				Target date(s)	Responsible officer/s	Strat Obj ref	Change
I	L	Tot		I	L	Tot	H M L								

### 6. Environmental

<b>1. Flooding</b>																			
<b>a) Risks &amp; threats:</b>																			
<ul style="list-style-type: none"> <li>River Exe overtopping the flood defences</li> <li>Other flooding (e.g. Northbrook)</li> <li>Climate change assessments suggest likelihood of more events in future</li> </ul>				<ul style="list-style-type: none"> <li>Major Incident Plan prepared in conjunction with Environment Agency, Devon CC &amp; other district councils</li> <li>Revised response team</li> <li>Flood training provided</li> <li>Emergency response measures in place</li> <li>Environment Agency has raised height of flood barriers in Exwick &amp; detailed flood modelling of River Exe &amp; its tributaries</li> <li>DEFRA funding to carry out flood alleviation work at Pinhoe</li> <li>Considered in climate change risk plans</li> </ul>				<ul style="list-style-type: none"> <li>Identify utilities that are vulnerable to flooding</li> <li>Review Council policies in line with the Pitt Report</li> <li>Strategic Flood Risk Assessment in place</li> <li>Flow monitoring on Northbrook to verify model</li> <li>The EA have commissioned consultants to review Exeter's flood defences &amp; will table a short list of options in 2011</li> <li>Consultation in 2012-13 followed by update in 2014 &amp; design work in 2015 would enable EA to carry out work in 2016-17. EA will look to stakeholders to bridge funding gap of c£10m after government contribution, but a risk remains that there will be a significant funding shortfall</li> </ul>				<ul style="list-style-type: none"> <li>2016/17</li> </ul>		Engineering & Construction Manager		7b		↑	
<ul style="list-style-type: none"> <li>risk of drowning</li> <li>damage to Council and other properties</li> <li>displacement of large numbers of people</li> <li>health risks</li> </ul>																			
<b>b) Benefits &amp; opportunities:</b>																			
<ul style="list-style-type: none"> <li>Reduction in possible effects of such an event</li> <li>Potential increase in property values</li> <li>Decrease in insurance premiums</li> <li>Increase amount of developable land</li> </ul>																			
<b>5. Topsham lock</b>																			
<b>a) Risks &amp; threats:</b>																			
<ul style="list-style-type: none"> <li>The leak from the canal under the lock pit into the river could affect the canal bank/towpath</li> <li>Site investigations</li> </ul>				<ul style="list-style-type: none"> <li>In the mid 1970s the lock was sheet piled off from the canal, this greatly reduced the flow but not completely</li> <li>Further work has temporarily stopped the</li> </ul>				<ul style="list-style-type: none"> <li>Continue site investigations to understand the ground conditions &amp; causes of the leak to determine the scope for a potential repair scheme</li> </ul>				<ul style="list-style-type: none"> <li>2011/12</li> </ul>		Head of Operational Services & Transport		7b		⇒	

Exeter City Council Corporate Risk Register				Inherent Risk (impact x likelihood)			Residual Risk (impact x likelihood) & Risk Priority								
Risks & benefits				What has been done to control risks (i.e. countermeasures)							What needs to be done to control risks	Target date(s)	Responsible officer/s	Strat Obj ref	Change
I	L	Tot		I	L	Tot	H M L								

<p>carried out but are not conclusive</p> <ul style="list-style-type: none"> <li>Further work has temporarily slowed leak but it has re-established itself</li> <li>Additional loss of water from river to canal</li> </ul> <p><b>Consequences:</b></p> <ul style="list-style-type: none"> <li>A total collapse would cause a rush of water, cause damage &amp; render the canal un-navigable incurring costs &amp; resulting in loss of income</li> <li>Salmon unable to navigate weir, to detriment of quality/ quantity of fish; potential claim by fisheries interests</li> </ul> <p><b>b) Benefits &amp; opportunities:</b></p> <ul style="list-style-type: none"> <li>A working lock would attract visitors</li> </ul>											<ul style="list-style-type: none"> <li>Depending on outcome of tests, design &amp; implement scheme to stop the leak</li> <li>Consider bringing the lock back into use</li> </ul>	<ul style="list-style-type: none"> <li>2012/13</li> <li>Ongoing</li> </ul>				
--	--	--	--	--	--	--	--	--	--	--	---	--	--	--	--	--

8. Financial																	
<p><b>2. Car parks income</b></p> <p><b>a) Risks &amp; threats</b></p> <ul style="list-style-type: none"> <li>Significant reduction in demand</li> </ul> <p><b>Consequences:</b></p> <ul style="list-style-type: none"> <li>significant loss of income</li> </ul>				3	4	12		<ul style="list-style-type: none"> <li>Strategy formulated to promote Exeter</li> <li>Parking Strategy to be reviewed to maximise opportunities for income generation</li> </ul>	3	4	12	H	<ul style="list-style-type: none"> <li>Promote Exeter as a shopper/visitor destination</li> <li>Quarterly monitoring of car park usage &amp; income</li> <li>Programme of works to attract customers</li> <li>Implement a tariff policy which reflects underlying economic conditions</li> </ul>	<ul style="list-style-type: none"> <li>Ongoing</li> <li>Ongoing</li> <li>Ongoing</li> <li>Ongoing</li> </ul>	<p>Head of Economy &amp; Tourism</p> <p>Head of Operational Services &amp; Transport</p>	7	⇒

Exeter City Council Corporate Risk Register				Inherent Risk (impact x likelihood)			Residual Risk (impact x likelihood) & Risk Priority									
Risks & benefits				What has been done to control risks (i.e. countermeasures)				What needs to be done to control risks				Target date(s)	Responsible officer/s	Strat Obj ref	Change	
I	L	Tot		I	L	Tot	H M L									
<b>3. Superannuation (pension) fund</b> <b>a) Risks &amp; threats:</b> <ul style="list-style-type: none"> <li>Underperformance of the pension fund</li> </ul> <b>Consequence:</b> <ul style="list-style-type: none"> <li>increased contributions causing revenue burden</li> </ul>				3	4	12		3	3	9	M	<ul style="list-style-type: none"> <li>Government led review of fund valuation &amp; employees' contribution levels</li> </ul>	<ul style="list-style-type: none"> <li>Ongoing</li> </ul>	Head of Treasury Services	7	⇒
<b>9. Planning appeals</b> <b>a) Risks &amp; threats:</b> <ul style="list-style-type: none"> <li>Significant award of costs against the Council</li> </ul> <b>Consequence:</b> <ul style="list-style-type: none"> <li>adverse effect on the Unit's budget</li> <li>reduction in staffing</li> <li>inability to meet targets &amp; standards</li> </ul>				4	4	16		4	2	8	M	<ul style="list-style-type: none"> <li>Active monitoring of officer reports &amp; any appeals by Development Manager &amp; Planning Solicitor</li> <li>Involvement of members in any decisions to refuse</li> <li>Proceed to adoption of core strategy &amp; community infrastructure levy as soon as possible</li> </ul>	<ul style="list-style-type: none"> <li>Ongoing</li> </ul>	Head of Planning & Building Control	7 8	↑
<b>14. Science park</b> <b>a) Risks &amp; threats:</b> <ul style="list-style-type: none"> <li>Company incurs significant cost liabilities</li> </ul> <b>Consequences:</b> <ul style="list-style-type: none"> <li>loss of investment in the company</li> <li>adverse media &amp; public criticism</li> <li>adverse affect on the Council's reputation</li> <li>adverse affect on jobs &amp; commercial growth</li> </ul>				3	3	9		3	2	6	M	<ul style="list-style-type: none"> <li>Senior level overview of company performance.</li> </ul>	<ul style="list-style-type: none"> <li>Ongoing</li> </ul>	Head of Economy & Tourism	5 7 8	⇒
<b>15. Boats using the canal</b> <b>a) Risks &amp; threats:</b> <ul style="list-style-type: none"> <li>Third party accidents</li> </ul>				3	2	6		3	2	6	M	<ul style="list-style-type: none"> <li>Work being undertaken to make possession of third party insurance a</li> </ul>	<ul style="list-style-type: none"> <li>Apr 12</li> </ul>	Head of Operational Services &	3 7	⇒

Exeter City Council Corporate Risk Register				Inherent Risk (impact x likelihood)			Residual Risk (impact x likelihood) & Risk Priority												
Risks & benefits				I	L	Tot	What has been done to control risks (i.e. countermeasures)				I	L	Tot	H M L	What needs to be done to control risks	Target date(s)	Responsible officer/s	Strat Obj ref	Change

caused by or involving uninsured boats <b>Consequences:</b> • possible liability of the Council to meet costs of losses or damages														compulsory part of the use of the canal & laying up contract		Transport			
--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	-----------	--	--	--

10. Partnership/contractual																				
2. Archaeological field unit <b>a) Risks &amp; threats:</b> • Residual liability for unfulfilled contractual obligations <b>Consequences:</b> • Claims by former clients				2	3	6	• Staged closure of Unit to clear backlog of work • Prioritisation of work, reported to Archaeological Advisory Committee (AAC)				2	2	4	L	• Clear backlog according to priority list reported to AAC		• Mar 12	Director Economy & Development	3 7 8	↓
4. Bus & coach station redevelopment <b>a) Risks &amp; threats:</b> • Legal challenge to selection of developer <b>Consequences:</b> • Redevelopment delay • legal costs <b>b) Benefits &amp; opportunities:</b> • Informed Master Plan/ viability analysis • Quality scheme				3	3	9	• External legal advice sought • Early announcements made to trigger any potential challenge				2	2	4	L	• Ensure legal advice on procurement is followed		• Ongoing	Head of Estates Services	3 5	⇒